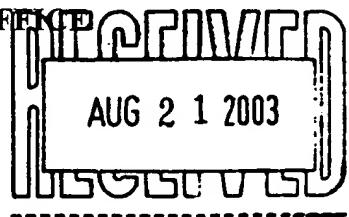




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BT2623
12-16-03

Attorney Docket No. DEM1P001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In the Patent Application of: **NEAL et al.**

Serial No. : **09/741,958**

Art Unit: **2623**

Filed: **December 20, 2000**

Examiner: Robinson Boyce, Aleiba K.
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For: **PRICE OPTIMIZATION SYSTEM**

AUG 20 2003

Technology Center 2600

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August 15, 2003

Signed: 
Kang S. Lim

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT FILED
UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the
Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the
documents are necessarily prior art with respect to the referenced application. Furthermore,
citation of the above documents shall not be construed as a representation that a search has been
made or an admission that the information cited herein is, or is considered to be, material to
patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted
under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3)

months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

- 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R § 1.97(b), but before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.
- A certification pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

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Respectfully submitted,



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\KSL IDS d



36088

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	DEM1P001	09/741,958
	APPLICANT	NEAL
FILING DATE	GROUP	3623
12/20/00		

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	CA	“PCT International Search Report”, Application Number PCT/US02/36710, mailed July 21, 2003.
	CB	YOEMAN, JOHN CORNELIUS JR., "The Optimal Offering Price for Underwritten Securities", 1993, Volume 55/01-A of Dissertation Abstracts International, Page 4743 (Abstract Only).

EXAMINER	DATE CONSIDERED
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